Oklahoma Workers' Compensation Commission (OK WCC) Claims Release 3.0 Test Plan

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OK WCC Claims Release 3.0 Test Plan Summary

The OK WCC transition to EDI IAIABC Claims Release 3.0 Reporting will consist of two Groups, Group 1 Voluntary and Group 2 Mandatory Phase for Trading Partners. Please review the Oklahoma Worker's Compensation Commission (OKWCC) Claims Release 3.0 EDI Implementation Schedule located in the Implementation Info section of the OK WCC EDI website: https://www.OKWCCEDI.info . The Implementation Schedule contains additional information regarding the testing and production timeline. Testing for Oklahoma will be handled by Oklahoma's Claims EDI vendor, ISO - Workers Compensation Solutions division.

There are five stages to the OK WCC Test Plan:

Stage 1   EDI Trading Partner Profile Electronic Registration: Every Trading Partner providing data to OK WCC must first complete an electronic Trading Partner Profile.

Stage 2   Pretest & Technical Capability Test: This pre-test is to ensure proper file structure.

Stage 3   Business Content Test (FROI): This test is to fully test First Report of Injury (FROI) transactions. Note: These FROI transactions will be used for Stage 4 to complete the Subsequent Reports of Injury (SROI) transactions.

Stage 4   Business Content Test (SROI): This test is to fully test Subsequent Reports of Injury (SROI) transactions.

Stage 5   OK WCC Test Completion (FROI and SROI): This is the confirmation that FROI and SROI testing has been completed and approved by the OK WCC. This is the final step to be officially approved for production EDI reporting of workers' compensation FROI and SROI data with the OK WCC.

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Miscellaneous Information for Test Stages

There is little value in collecting and utilizing data unless there is assurance that the data is accurate. Data Quality Criteria Reports are first transmitted to the OK WCC via EDI, then they are tested for completeness and validity using built-in data edits on the ISO wcCapture system.

The data reporting requirements for each data element are listed in the Element Requirements. OK WCC accepts MTCs specific to OK WCC requirements and Trading Partners are expected to test all MTC's as outlined in this plan. Refer to the Event Table of the OK WCC Implementation Guide for more information. The MTC Sequencing is found on the Edit Matrix Sequencing Table which if followed correctly will promote successful sequencing test results. For additional information on all of the OK WCC reporting requirements, refer to the OK WCC Implementation Guide and Requirement Tables located on the OK WCC EDI website at https://www.okwccedi.info/.

OK WCC MTC definitions and DP Rules are based on the IAIABC Release 3 Standards. Each MTC definition and DP rule can be found in the IAIABC Release 3 Claims Data Dictionary. The IAIABC Release 3 Guide can be found at https://www.iaiabc.org. This guide must be used in conjunction with the OK WCC Implementation Guide for EDI implementation.

The OK WCC will process the transactions, apply all edits, validate data accuracy and return acknowledgments to the Trading Partner. Processing is usually done the same day but no longer than 48 hours after the data is submitted with consideration for issues caused by the test file format issues or other unexpected processing issues.

The ability of the Trading Partner to store and properly use the JCN assigned by the OK WCC will be validated in this test. The JCN is used as primary match data to locate the claim in the OK WCC database.

This FROI and SROI test process will be repeated until the Trading Partner demonstrates the ability to submit the transactions with the data quality criteria of at least ninety percent {90%} of the transmitted reports free of any errors in mandatory and conditional data elements. Once the data quality criteria of the FROI and SROI EDI transmissions have been met, the Trading Partner is ready to move from test to production status.

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Stage 1: EDI Trading Partner Profile Electronic Registration

Trading Partners are required to complete the OK WCC Trading Partner Profile Registration before testing can begin.

See OK WCC EDI website – https://www.OKWCCEDI.info (select Trading Partner Profile)

This OK WCC EDI Trading Partner Profile Registration provides each trading partner with the ability to create and submit a New Profile or an Updated Trading Partner Profile. This form will uniquely identify a trading partner as the sender of the data, how the data will be sent, the business and technical contacts, and the demographics for the Subsidiary Insurers and Claim Administrators.

Upon completion of the EDI Trading Partner Profile online, an auto response email will be sent to the primary contact and to the OK WCC. This will serve as notification to all parties of the EDI Trading Partner information for EDI implementation.

Upon approval of the EDI Trading Partner Profile by the OK WCC, the EDI Support Team will contact the Trading Partner's primary contact to indicate the start date for moving to Stage 2 Pretest & Technical Capability Test.

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Stage 2: Pretest & Technical Capability Test

The purpose of the Pretest and Technical Capability Test is to ensure that the FROI and SROI test files have valid file structure/format based on IAIABC Claims Release 3.0 standards and to verify that the appropriate values are populated for the data elements as they relate to the Trading Partner Profile.

Pretest and Technical Capability Test Requirements for Test Batches

For the Pretest and Technical Capability Test and to test the file structure of both FROI and SROI files, OK WCC suggests that the Trading Partner submit at least one (1) FROI Original (MTC 00) or equivalent to receive a TA acknowledgment followed by one SROI Initial Payment (MTC IP) or equivalent to receive a TA acknowledgment. Note: These accepted FROI and SROI reports may be used as valid FROI and SROI reports indicated in the Stage 3 FROI and Stage 4 SROI testing.

The file structure/format for the test files must be correct. Each batch must contain an appropriate header record, one or more transaction records with correct record format(s), a trailer record, and the number of records sent matches the record/transaction numbers indicated in the trailer. If the file structure/format is valid, the results of this test will provide an acknowledgment with edit result details on each specific report sent. Otherwise, if the file structure/format is invalid, then depending on the issue, either a Batch Reject acknowledgment will be returned or the OK WCC EDI Support Team will contact the Trading Partner with the file structure issue via email.

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Pretest and Technical Capability Pointers

This section provides a few pointers on the IAIABC Claims Release 3.0 file structure and OK WCC's expectations.

> **Transmission method:**
> ➢ OK WCC receipt of FROI/SROI files, coincident with method approved on Trading Partner Profile Electronic registration.

> **Header (HD1):**
> ➢ Must have proper information, coincident with method approved on Trading Partner Profile Electronic registration.
> ➢ Test/Production Indicator (DN 0104): Located in position 82 of the Header Record should be set to 'T' during all testing. Data will be processed through the OK WCC testing database

IAIABC							OK WCC
DN	HD1 Data Element Name	Format	Length	Beg	End		Value
0001	Transaction Set ID	A/N	3	1	3		HD1
0098	Sender FEIN *(Part of Sender ID)*	A/N	9	4	12		*
0098	Filler - Future Defined Usage *(Part of Sender ID)*	A/N	7	13	19		Spaces
0098	Sender Postal Code *(Part of Sender ID)*	A/N	9	20	28		*
0099	Receiver FEIN *(Part of Receiver ID)*	A/N	9	29	37		**736017987**
0099	Filler - Future Defined Usage *(Part of Receiver ID)*	A/N	7	38	44		Spaces
0099	Receiver Postal Code *(Part of Receiver ID)*	A/N	9	45	53		**731054919**
0100	Date Transmission Sent	DATE	8	54	61		**
0101	Time Transmission Sent	TIME	6	62	67		**
0102	Original Transmission Date	DATE	8	68	75		Spaces
0103	Original Transmission Time	TIME	6	76	81		Spaces
0104	Test/Production Code	A/N	1	82	82		T
0105	Batch Type Code (Part of Interchange Version ID)	A/N	3	83	85		148 for FROI Or A49 for SROI
0105	Release Number (Part of Interchange Version ID)	A/N	1	86	86		3
0105	Version Number (Part of Interchange Version ID)	A/N	1	87	87		0

* Include the appropriate information per the approved Trading Partner Profile
** Include the appropriate date per IAIABC Standards

> ➢ Trailer (TR2) – Ensure proper transaction count & detailed record count
> ➢ 148 Record –Ensure proper file length (913 bytes)

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➢ R21, A49, R22 Records – Ensure proper file length dependent on variable segments
➢ Transmission method received – Trading Partner receipt of the batch, coincident with the electronic registration process. The batch returned by OK WCC must be reviewed by the trading partner to obtain the status of the transactions.
➢ Batch Format of files sent by the Trading Partner is correct, *(i.e., each batch contains an appropriate header record, one or more transaction records, and a trailer record, and the number of records sent matches the record/transaction numbers indicated in the trailer).*
➢ Valid formatted data: Ensure that the data has a valid format for all data fields, e.g., data in the date of injury field, must be in DATE format, date of injury is < date of report and cannot be a non-existent date *(a date in the future),* values in code fields must have valid code values, etc.

Stage 3: Business Content Test (FROI)

Test Stage 3 is to ensure that the following FROI Test requirements are met before a Trading Partner is allowed to routinely submit electronic data to the OK WCC in a Production status. Trading Partners must submit complete data for all required data elements. It is recommended that a review of the SROI Test Requirements for Test Batches be performed for consideration to determine if additional FROI test reports are needed to establish claims in order to successfully complete the subsequent SROI testing. For the FROI testing and subsequently the SROI testing, Acquired Claim MTC's are not included however consideration will be made by OK WCC to test Acquired Claim MTC's upon request by the Trading Partner. OK WCC FROI MTC's required for testing are as follows:

00 01 02 04 UI UR CO

The table below is provided to assist with tracking the batches and FROI MTC's that are tested. It does not necessarily represent the number of test batches needed. Multiple FROI Test batches are needed, as determined by the Trading Partner, in order to test each of the MTC's indicated in the table.

MTC	Batch 1	Batch 2	Batch 3	Batch 4	Batch 5	Batch 6	Batch 7	Batch 8	Add 'l Batch
00									
01									
02									
04									
UI									
CO									
UR									
AQ*									
AU*									

*If Requested by Trading Partner.

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FROI Test Requirements for Test Batches

FROI Test Requirements for Batch 1

Trading Partners need to submit initial Business Content Test transmission in the first test transmission as follows:

1. At least nine(9)Original Transactions (MTC 00) including;
   a) One (1) claim with an invalid data element that should cause a TR, (e.g. Invalid Employer FEIN)
   b) One (1) claim with an missing required data element that should cause a TR, (e.g. Employee Last Name)
   c) One (1) claim with an invalid data element that should cause a TE, (e.g. Any element that defined as "IA" *if available* in the OK WCC element requirement table)
   d) Six (6) claims that obtains a TA status
2. At least one (1) Original Transaction (MTC 00) with or without an OK WCC Claim Number (JCN) (DN0005) for a legacy claim (initially reported on paper) that should cause a TR.
3. At least one (1) Denial Transaction (MTC 04) without an OK WCC Claim Number (JCN) (DN0005), (e.g. a new claim for which no benefits will be paid.)
4. At least one (1) Under Investigation Transaction (MTC UI) without a OK WCC Claim Number (JCN) (DN0005)
5. At least one (1) Under Investigation Transaction (MTC UI) with or without an OK WCC Claim Number (JCN) (DN0005) for a legacy claim (initially reported on paper) that should cause a TR.
6. At least four (4) Upon Request Transactions (MTC UR) with no errors that will obtain a TA.
7. At least two (2) Upon Request Transactions (MTC UR) one with errors for TE and one with error that will cause a TR.

FROI Test Requirements for Batch 2

Trading Partner needs to submit a second Business Content Test transmission as soon as can be scheduled with the following transactions:

1. At least two (2) Original Transactions (MTC 00) with no errors.
2. At least one (1) Original Transaction (MTC 00) to correct the invalid TR transaction submitted in batch 1.
3. At least one (1) Correction (MTC CO) with the JCN to correct the invalid TE transaction.
4. At least one (1) Change (MTC 02) with the JCN, change Employee ID, Name or Date of Injury.
5. At least one (1) Cancel (MTC 01) with the JCN.

The Trading Partner should submit additional Business Content Test transmissions as needed to complete the test with consideration of SROI testing.

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The Trading Partner must notify the OK WCC when the FROI testing has been completed for OK WCC review and approval. OK WCC will then notify the Trading Partner by email if the Trading Partner has passed the FROI tests and is approved to proceed with SROI testing.

OK WCC Test Completion (FROI) - Congratulations!

FROI test completion is an indication that testing FROI data has been completed successfully and approval from the OK WCC has been received to proceed with testing SROI data.

Stage 4: Business Content Test (SROI)

Test Stage 4 is to ensure that the following SROI testing requirements are met before a Trading Partner is allowed to routinely submit electronic data to the OK WCC in a production status. Trading Partners must submit complete data for all required data elements. It is recommended that a review of the FROI Test Requirements for Test Batches be performed for consideration to determine if additional FROI test reports are needed to establish claims in order to successfully complete the SROI testing.

SROI Test Requirements for Test Batches

Trading Partners will need to submit Stage 3 FROI business content test transmissions to establish the claims for the balance of the SROI testing and to obtain the JCN that is required for the SROI test claims. The SROI test MTC's listed must be submitted per the sequencing requirements to satisfy the MTCs required for the test. Trading Partners must submit complete data for all required data elements. OK WCC SROI MTC's required for testing are as follows:

02 04 CA CB CD CO EP ER FN IP PD PY RB RE SA Sx UI UR VE

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The table below is provided to assist with tracking the batches and SROI MTC's that are tested and does not necessarily represent the number of test batches needed. Multiple SROI Test batches are needed, as determined by the Trading Partner, in order to test each of the MTC's indicated in the table.

MTC	Batch 1	Batch 2	Batch 3	Batch 4	Batch 5	Batch 6	Batch 7	Batch 8	Add'l Batch
02									
04									
*AP**									
CA									
CB									
CD									
CO									
EP									
ER									
FN									
IP									
PD									
PY									
RB									
RE									
SA									
Sx									
UI									
UR									
VE									

*MTC AP- If requested by Trading Partner

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Stage 5: OK WCC Test Completion (FROI and SROI)

Moving from Test to Production Status

Evaluate the data quality criteria of the EDI test transactions that have been sent and if the expected results have been met, then notify the OK WCC that the testing has been completed for OK WCC review and consideration for production approval. OK WCC will notify the Trading Partner by email of Trading Partner production approval or provide instructions on next steps.

Upon production approval by OK WCC, the OK WCC and the Trading Partner can then select a mutually agreed upon date to begin EDI reporting of workers' compensation FROI and SROI data with OK WCC in production.

You are now officially approved for production EDI reporting of workers' compensation FROI and SROI data. OK WCC Data transmissions will be monitored for completeness, validity, and accuracy by the OK WCC during production.

Production Readiness and Reporting

Test/Production Indicator
The Test/Production Indicator (DN 0104) located in position 82 of the Header Record is set to 'P' during production. Data will be posted to the OK WCC production database.

Data Quality Requirements
Data sent to OK WCC will continue to be monitored for completeness and validity. Trading Partners are expected to meet or exceed a ninety percent (90%) accuracy rate for mandatory and conditional data elements. If the transmission mode or specifications are changed, re-testing some or all transaction types may be required.

Trading Partner Profiles
 Trading Partner Profiles must be kept up-to-date. OK WCC must be notified of any changes via the update electronic registration process. See OK WCC website – https://www.okwccedi.info/ (select Trading Partner Profile)